

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

FEB 2002

U.S. APPLICATION NO. (If Known, see 37 CFR  
1.5) **10/069760**

INTERNATIONAL APPLICATION NO.  
PCT/EP00/04697

INTERNATIONAL FILING DATE  
23 May 2000

PRIORITY DATE CLAIMED  
31 August 1999

TITLE OF INVENTION  
ELECTRIC PLUG CONNECTOR

APPLICANT(S) FOR DO/EO/US

Gerhard Schwarz, Reiner Naumann, Helmut Deschner, Theo Grimm, Gerd Hofmann and Dieter Sauer

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4.  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern other documents or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and Form PTO-1449 w/references (3).
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
  - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:
  - Serial number card (for return)
  - PCT Request as filed (Form PCT/RO/101 – 5 pages)
  - PCT Request as received by EPO (Form PCT/RO/101 – 5 pages)
  - Chater II Demand (Form PCT/IPEA/401 – 4 pages)
  - PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT Rule 47.1(c), first sentence) (Form PCT/IB/308 – 1 page)
  - Request for International Preliminary Examination (Form PCT/IPEA/409 – 4 pages)

**BEST AVAILABLE COPY**

U.S. APPLICATION NO. (IF KNOWN)

INTERNATIONAL APPLICATION NO.  
PCT/EP00/04697

ATTORNEY'S DOCKET NUMBER  
08215-517US1

17.  The following fees are submitted:**Basic National Fee ( 37 CFR 1.492(a)(1)- (5) ):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1040

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$890.00

Surcharge of \$130 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate	
Total Claims	25 - 20 =	5	x \$18	\$90.00
Independent Claims	1 - 3 =	0	x \$84	\$0.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280	\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1110.00

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$0.00

**SUBTOTAL =**

\$1110.00

Processing fee of \$130 for furnishing the English Translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(f))

\$0.00

**TOTAL NATIONAL FEE =**

\$0.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$0.00

**TOTAL FEES ENCLOSED =**

\$1110.00

	<b>Amount to be refunded:</b>	\$
	Charged:	\$

- a.  A check in the amount of \$1110.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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601 Thirteenth Street, NW  
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(202) 783-5070 phone  
(202) 783-2331 facsimile

SIGNATURE :

NAME

John F. Hayden

REGISTRATION NUMBER

37,640